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Technological and paleoclimate reconstructions based on artifacts and sediments from archaeological sites located in caves from the Southern

Carpathians

(Ph. D. Thesis Summary)

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INTRODUCTION

Archaeological sites provide valuable materials, such as *artifacts* and *sediments*, which can help to decipher and reconstruct the behavior of prehistoric people. Migration, social life, technological knowledge, interactions between populations, possible trading routes, environment and climate in which people lived, chronologies, decline or flourishing of a civilization can all be inferred after studying the artifacts and sediments with appropriate methods.

Sediments are composed of particles with various sizes, which may consist of various minerals, rock fragments, fossils, charcoal, guano and plant remains. They are widely used for past climate studies, for determination of ancient human activities or for chronology (Hall et al., 2008; Masi et al., 2013; Kanthilatha, 2016). Charcoal is commonly encountered in lake deposits, peat bogs or guano deposits and is widely used to infer past fire regimes (Feurdean & Vasiliev, 2019; Florescu et al., 2019). It is also well preserved in many archaeological sites. When recovered from hearths, it indicates the deliberate use of fire (Cohen-Ofri et al., 2006) and provides absolute ages through radiocarbon dating (Scott & Damblon, 2010). Several studies (Ferrio et al., 2006; Hall et al., 2008; Masi et al., 2012; Audiard et al., 2019) have shown that the climatic signal of wood δ^{13} C is preserved in charcoal and, consequently, rainfall, humidity and temperature for past times can be derived. However, studies using δ^{13} C to infer these parameters are scarce and have not been carried out on charcoal from Romanian sites.

From Holocene archaeological sites, regardless of their location (caves or surface), the most abundant artifact which is usually unearthed are made of ceramic material. Ceramics is known for its high resistance to alteration (including burial for long periods of time) due to special physical and chemical characteristics (Maritan, 2004; Ionescu & Hoeck, 2011; Hunt, 2017). The ceramic product is regarded as an 'artificial rock' (Maggetti, 1982, 2001) formed through anthropogenic pyrometamorphism at atmospheric pressure (Grapes, 2011). A huge number of sherds were excavated over time from surface or cave sites in Romania, but many

finds lack complex studies which could reveal the technological processes involved in ceramics making, the composition of the paste, the provenance of raw materials and the firing conditions. Still, the existing studies highlighted the variety of processes involved in ceramic production. They also point to the need of increase of such studies to fill the gaps in this field.

Considering the above discussion, the archaeological sites located either at the surface or in caves/rock shelters are widespread and contain valuable finds and sediments. Finds from caves are particularly important as they may reflect a permanent or temporary settlement (Roman, 1976; Mentzer, 2017). Caves were places where people stored or produced artifacts. Temporary use might have been related to various activities such as hunting, plant gathering, transhumance or sheltering in times of danger (Mavridis et al., 2013). From archaeological point of view, caves act to a certain degree as closed systems, meaning that what gets inside, will usually remain inside, affected only by post depositional transformations (Karkanas & Goldberg, 2019). In Romania, Boroneanț (2000) has described more than 250 caves containing archaeological remains. Still, the real number remains unknown and the ceramic artifacts provided by cave sites are understudied from an archaeometric point of view. Also, archaeological charcoal was mainly used for radiocarbon dating, but remains insufficiently exploited as it regards the δ^{13} C values and related outcomes (*e.g.* inferring the humidity) (see Hall et al., 2008; Masi et al., 2012, 2013).

The present study includes two archaeological sites located in caves from the Poiana Ruscă Mountains (Southern Carpathians, Romania). The caves, namely the Great Cave of Cerişor ("Peştera Mare de la Cerişor", *in Romanian*) (hereafter GCC) and the Cauce Cave ("Peştera Cauce", *in Romanian*) (hereafter CC) are rich in archaeological findings such as lithic tools, adornments and ceramics, as well as anthropogenic charcoal (Roman et al., 2000; Luca et al., 2004, 2005). The analysed *charcoal* fragments were collected from both cave sediments and hearths. Additionally, *potsherds* from the Great Cave of Cerişor have been selected for investigation. Archaeologically, the potsherds are assigned to the Late Neolithic Turdaş Culture (ca. 4,950–4,550 B.C.), Early Eneolithic Foeni Group (ca. 4,700–4,450 B.C.) and the Copper Age Cotofeni Culture (ca. 3,500 – 2,500 B.C.). These populations occupied significant areas on the present-day territory of Romania, e.g., Turdaş remains are known from 74 sites, Foeni artifacts were recovered from 28 excavations, whereas Cotofeni culture was described from more than 688 sites (Ciugudean, 2000; Diaconescu, 2014; Bințințan & Gligor, 2016).

First results obtained on two sets of potsherds (assigned to the Foeni Group and the Coţofeni Culture) found in the Great Cave of Cerişor were published by Giurgiu et al. (2017b, 2019) and their detailed study is displayed in Chapter 5. Data presented at various scientific meetings (*e.g.* Enea-Giurgiu et al., 2018, 2019; Giurgiu et al., 2016ab), are also included in this study.

GEOLOGICAL SETTING AND CAVE DESCRIPTION

Geographically, both the Great Cave of Cerişor and the Cauce Cave are in the Poiana Ruscă Mountains, Romania (Fig. 1). Geologically, the area is assigned to the Getic Domain of the Southern Carpathians, a 300 km-long mountain belt consisting of Paleozoic and Proterozoic basement rocks, Mesozoic sequences, and Cenozoic sedimentary cover (Mureşan et al., 1980; Iancu et al., 2005a; Balintoni et al., 2011).

The polyphasic tectonic evolution of the Southern Carpathians resulted in a complex structure which was described and discussed in many studies (*e.g.* Balintoni, 1997; Iancu et al., 2005ab; Balintoni et al., 2011). Three main nappe complexes were separated: Getic-Supragetic basement/cover nappe complex at the top, the Severin cover nappes in the middle, and the Danubian basement/cover nappe complex at the bottom, respectively. Except for the Severin cover nappes which have an oceanic origin, the rest of the nappe stacks have a continental nature. The nappe structure of the Southern Carpathians was defined in the Cretaceous times, during two major events, namely the "Austrian phase" (Mid-Cretaceous) and the "Laramide phase" (Late Cretaceous) (Iancu et al., 2005a).

The Great Cave of Cerişor (coordinates: N45°45'7.74" E22°46'18.54"; 580 m a.s.l.) and the Cauce Cave (coordinates: N45°45'40.56" E22°45'6.48"; 670 m a.s.l.) open on the southern flank of the Runc Valley, in the rocks of the *Hunedoara-Luncani Formation* (Balintoni, 1997) which is a Mid-Paleozoic carbonatic sequence (limestones and dolomites).



Fig. 1. Modified geological map from Giurgiu et al. (2017b, 2019), based on Mureşan et al. (1980), with the location of the 'Great Cave of Cerişor' and the 'Cauce Cave' in the Poiana Ruscă Mountains (Southern Carpathians). The upper right insert shows the position of the map within Romania.

ARCHAEOLOGICAL BACKGROUND

Stratigraphy of the Great Cave of Cerişor. Based on ceramic artifacts exhumed at the GCC, several cultural groups and related ages were defined (Boroffka, 1995; Roman et al., 2000): the Turdaş – Late Neolithic, the Foeni Group – Early Eneolithic, the Cotofeni – Copper Age (Chalcolithic), and the Wietenberg Culture – Middle Bronze Age. At the top of stratigraphy mixed materials from VIII-IXth, XI-XIIIth and post-XVth centuries were found, but the pieces are isolated and there are no complexes or specific levels in the stratigraphy of the cave to demonstrate a long habitation during the middle ages (Roman et al., 2000). The potsherds assigned to the Turdaş, Foeni Group and Cotofeni cultures are included in this study and their particularities will be further discussed. A profile located in the archaeological excavation S1

from the Great Cave of Cerişor was investigated. The deposit is 49 cm thick and consists of thin layers of silt and clay, with carbonate fragments.

Stratigraphy of the Cauce Cave. At the Cauce Cave several levels were described by Luca et al. (2004). The profile starts with Starčevo-Criş (Neolithic) Culture, followed by a level with Turdaş (Late Neolithic) finds which also contains few Foeni (Early Eneolithic) and Petreşti Culture (Eneolithic) ceramic at the top. The next layer belongs to Tiszapolgár Culture and contains Hunyadihálom (Copper Age) artifacts at the upmost part. The following levels contain Coţofeni (Copper Age), Wietenberg (Bronze Age) and, at the end of the succession, medieval materials. The culture assignment is based on the archaeological finds, mostly pottery. The investigated succession is 110 cm deep and consists of silt and clay.

Turdaş Culture. The Late Neolithic Turdaş archaeological sites are spread in the western and southwestern parts of the Transylvanian Basin, as well as along the Mureş Valley up to the western part of the Romanian territory. There are 74 sites which contain Turdaş archaeological finds (Diaconescu, 2014) among which the type locality where this culture features have been defined (Luca et al., 2004). Based on radiocarbon dating, the Turdaş Culture lasted from around 4,950 (in the so-called "Vinča-C2 phase") till around 4,550 B.C. when the population of the Foeni Group arrived in Transylvania (Draşovean, 2013; Diaconescu, 2014).

Foeni Group. The Early Eneolithic Foeni population appeared firstly in the southwestern part of the present-day territory of Romania (Banat) and later spread in the Transylvania area. The radiocarbon dating indicates a time span between ~4,700 and ~4,450 B.C. (Draşovean, 2013; Gligor, 2014). This population significantly contributed to the genesis of the two well-known Copper Age cultures within Romania, namely the Cucuteni and the Petrești cultures (Luca et al., 2004; Drașovean, 2013; Gligor, 2014). Up to now, 28 Foeni archaeological sites have been investigated, the most important being those at Alba Iulia, Daia Romană, Mintia, Petrești, and Cauce Cave (Gligor, 2008; Bințințan & Gligor, 2016).

Cotofeni Culture. Between ~3,500 and ~2,500 cal. B.C., the Copper Age Cotofeni Culture occupied a large territory in the south-west, west and central parts of the present-day

Romania, *i.e.*, Banat, Oltenia, W Muntenia, Crişana, and Transylvania (Forenbaher, 1993; Ciugudean, 2000; Lazarovici & Lazarovici, 2007; Boroffka, 2013). Remnants of this culture were also found in NE Serbia and NW Bulgaria (Roman, 1976; Spasić, 2010). Ciugudean (2000) mentions 688 documented archaeological sites in Transylvania and Banat with Coţofeni finds, but he considers the real number to be higher.

PALEOCLIMATE RECONSTRUCTION

Introduction

Sediments which are produced or affected by human activities are defined as "anthropogenic sediments". In archaeological sites, they usually comprise charcoal, ash and other organic materials intentionally brought by people to the site. Charcoal and ash are the by-products of wood burning and in archaeological sites are commonly found associated with combustion features, such as hearths¹ and hearths areas (less intact fire-related features) (Dibble et al., 2009). Generally, charcoal² is defined as a solid material resulted through the process of pyrolysis (Cohen-Ofri et al., 2006; Bird & Ascough, 2012). It is a form of charred material produced by burning of wood in natural fires or fires related to human activities. Carbon is the major element of charcoal and this topic will be addressed below.

Carbon is the major component of charcoal and has three isotopes³. One carbon isotope (^{14}C) is unstable (radioactive), and two $(^{12}C \text{ and } ^{13}C)$ are stable. The unstable nuclide (^{14}C) is used in radiocarbon dating, the method being suitable to samples with ages up to ~50,000 ^{14}C years before present (BP) (Bird & Ascough, 2012; Wagner et al., 2018).

The stable isotopes (¹²C and ¹³C) are measured as a ratio (¹³C/¹²C) and are reported in the delta notation (δ^{13} C) relative to an international standard with a known isotope ratio, *e.g.* the Vienna Pee Dee Belemnite (VPDB) standard, and expressed in per mil (‰) (Coplen, 1996; Bird & Ascough, 2012; Tiwari et al., 2015; Wagner et al., 2018). Relative to VPDB standard, the δ^{13} C values are expressed as follows: δ^{13} C= [(R_{sample}/R_{standard}) -1] ×1000 (‰), where R represents the

¹ Remnants of domestic fire which are intact or preserve most of the original compositional elements such as organic matter and ash (Dibble et al., 2009).

² Also known as pyrogenic carbon, char, black carbon or soot (Bird & Ascough, 2012).

³ Isotopes are atoms of an element which have the same number of protons but different number of neutrons, *i.e.*, they have same atomic number but different mass number (Tiwari et al., 2015; Wagner et al., 2018).

measured ${}^{13}C/{}^{12}C$ ratio of the sample and standard, respectively. They are commonly used to infer past environmental conditions (Kohn, 2010; Kohn, 2016).

Photosynthetic pathways. Based on the photosynthetic mechanism used for CO_2 fixation from the atmosphere, the terrestrial plants are divided into three categories: C_3 (using the Calvin cycle), C_4 (using the Hatch–Slack pathway) and CAM (crasulacean acid metabolism) plants. C_3 plants grow well in temperate climates, but are found both in the warmest deserts, and in the coldest arctic environments. C_4 plants (about 3% of terrestrial plants) are abundant in grasslands from the tropical, subtropical, and temperate zones with a high intensity of the light, high temperatures and summer rains. They are rare or absent in cold environments. CAM plants account for 7% of all vascular plant species and grow in stressful environments (Bräutigam et al., 2017). CAM plants such as cactuses easily adapt to extreme arid conditions, but have a very low photosynthetic capacity. Conversely, the C_4 plants are adapted to strong light, as well as arid and warm environments and have a higher photosynthetic capacity compared to C_3 plants.

Isotopic fractionation. The differences in the biochemistry and physiology of C₃, C₄ and CAM photosynthetic pathways lead to different carbon isotopic fractionation and are reflected by the δ^{13} C values. For the terrestrial plants, the isotopic composition ranges between -8% and -34%. The most important differences occur as result of isotope fractionation during photosynthesis (Bird & Ascough, 2012). During photosynthetic CO₂ fixation, the fractionation of carbon isotopes determines the depletion of the heavier ¹³C isotope in plants (because ¹³C reacts slower than ¹²C) (Brugnoli & Farquhar, 2000).

The plants using the C₄ pathway have higher δ^{13} C values (-10 to -14‰), showing a smaller isotope fractionation (O'Leary et al., 1992). The CAM plants show a wide range of δ^{13} C values, intermediate between those of the C₃ and the C₄ plants (Osmond et al., 1973). The C₃ plants use the more ¹³C discriminating pathway (*i.e.* a larger fractionation, of about -18‰, occurs). They have low δ^{13} C values, ranging between -20 and -37‰ (Kohn, 2010), with an average of -27‰ (Van Klinken et al., 1994; Cerling et al., 1997). Variations of the fractionation process during the photosynthesis occur in C₃ plants and are influenced by the differences in water use efficiency, light use, CO₂ recycling and other factors. The atmospheric CO₂ concentration and precipitation influence the water use efficiency (Silva & Horwath, 2013). Plant

 δ^{13} C also depends on the mean annual precipitation (MAP), but show negligible dependency of p_{CO2} (Kohn, 2016). The indigenous vegetation from the area where the caves are located belongs to the C₃ type and the fractionation associated with C₃ photosynthetic mechanism will be considered in this study.

Charcoal $\delta^{I3}C$. The factors that yield variation in charcoal isotope composition are represented by: (i) the increasing burning temperature and (ii) the isotopic value of the original wood (Hall et al., 2008). The first factor influencing the δ^{13} C values is the combustion temperature. Several studies (Turney et al., 2006; Hall et al., 2008) have shown that the δ^{13} C values for charcoal are more negative compared to the source material. The experimentallyobtained charcoal is depleted in ¹³C, demonstrating that the fractionation increases with temperatures up to 550 °C (Turney et al., 2006; Audiard et al., 2019) and ranges between 1 and 1.3 % (Turney et al., 2006). Ferrio et al. (2006) have described pyrolysis-induced $\delta^{13}C$ shifts of up to 1‰ at a temperature of 550 °C in average. The duration of the wood heating at a specific temperature does not significantly influence the isotopic composition, the charcoal composition becoming stable after 30 minutes of heating (Turney et al., 2006). Other experimental studies showed no significant isotopic change after equilibration (Schleser et al., 1999; Krull et al., 2003) or only a 1-2‰ increase (Turekian et al., 1998; Poole et al., 2002). Regardless the increase/decrease of the isotopic values in charcoal, the literature data clearly indicates that char retains the environmental signal recorded during the growth of the tree and can be used for paleoclimate reconstructions with a proper handling (Ferrio et al., 2006).

Present-day climate and vegetation

The climate of the Romanian Carpathians according to Micu et al. (2015) is moderately humid with the wettest areas being represented by the Southern and Apuseni Mountains. They also mention that at altitudes up to 800 m the mean annual precipitation is around 800 mm. According to CarpatClim (http://www.carpatclim-eu.org/) the study area falls within the regions with 700-800 mm of mean yearly precipitation (based on processed data between 1962 and 2010). Processed data for the year 2010 from CarpatClim atlas shows that the caves are located in an area with an average of 800 mm annual precipitation.

The vegetation close to the region where the caves are located (i.e. at ~22 km south from

the caves) is represented by forests with *Quercus petraea* and *Carpinus betulus* or hornbeam mixed with *Fagus sylvatica*. Rarely, *Abies alba* and *Picea abies* occur. *Quercus* is found along Runc Valley, especially on the southern part of its left flank. Other shrubs in the area comprise *Corylus avellana, Cornus mas* and *Crataegus monogyna* (Rusu, 1998). The Cerişor–Lelese plateau is characterized by meadows with Poaceae (Chirică & Răceanu, 1976). The Rosaceae and the Ericaceae are common (Filipaş et al., 2013). Near the entrances of the caves, mosses (*Sphagnum*) and *Fagus* are abundant (genus determined by Dr. I. Tanțău, Babeş-Bolyai University).

Samples and analytical methods

The Great Cave of Cerişor. The radiocarbon dating was carried out on three charcoal samples (labeled PC3, PC8, PC38) taken from the hearths or charcoal-rich levels of the Late Neolithic, Eneolithic and Bronze Age sediments. For the δ^{13} C measurements, eight charcoal samples were collected from a 49 cm thick sequence.

The Cauce Cave. For the radiocarbon dating, four charcoal (labeled CAU1, CAU2, CAU3, CAU5) samples from Late Neolithic, Eneolithic and Bronze Age levels were sampled. For the δ^{13} C measurements, twelve charcoal samples were recovered from hearths as well as from the rest of the sediments composing the 110 cm depth sequence.

Radiocarbon dating. The ¹⁴C dating of the charcoal samples from the Great Cave of Cerişor was performed at the Poznań Radiocarbon Laboratory (Poland) using accelerator mass spectrometry (AMS). The charcoal from the Cauce Cave was dated by AMS at the RoAMS Laboratory of the Horia Hulubei National Institute for R&D in Physics and Nuclear Engineering (Măgurele, Romania). For generating the deposition model for each profile, the OxCal 4.3.2 software (Bronk Ramsey, 2017; Bronk Ramsey & Lee, 2013) was used. The calibration of the ¹⁴C dates was performed using the IntCal13 (Reimer et al., 2013) calibration curve.

Stable isotopes measurements. After sample preparation, for measuring the isotopic δ^{13} C values, a combustion module from Costech Analytical Technologies Inc. coupled to a Picarro Cavity Ring Down Spectroscopy G2121-i analyzer (Busch & Busch, 1999; Berden & Engeln,

2009) from the Stable Isotope Laboratory of the Babeş-Bolyai University (Cluj-Napoca, Romania) were used. All δ^{13} C values are expressed relative to VPDB.

Results and discussions

Radiocarbon ages. In order to check the certainty of the ¹⁴C results, the radiocarbon dates from GCC and CC were compared to existing absolute ages from other archaeological sites (Table 1). Establishing other details (*e.g.* the start/end of a culture/group) was not the purpose of this study and the comparison strictly intends to establish whether the obtained dates from this study are feasible or not.

Locality	Culture/Group	Age (BP)	Error	Lab code	Source
Orăștie		6070	70	Deb-5765	Luca (2001)
Orăștie	Tundaa	5825	60	Deb-5762	Luca (2001)
Orăștie	Turdaş	5790	55	Deb-5775	Luca (2001)
Cauce		5760	40	GrN-28994	Luca (2009)
Foeni		5890	40	Poz-53356	Drașovean (2013)
Foeni		5855	85	Deb-5771	Drașovean (2004)
HD	Econi	5820	35	Poz-56766	Tincu (2015)
Alba Iulia <i>Lumea Nouă</i>	Foem	5770	40	Poz-19377	Gligor (2009)
Foeni		5750	40	Poz-53388	Drașovean (2013)
Hunedoara		5730	35	Poz-58370	Tincu (2015)
Barca Baloty		5074	27	MAMS-14250	Brummack (2015)
Barca Baloty		5096	27	MAMS-14252	Brummack (2015)
Tiszalúc-Sarkad	Hunyadihálom	5020	40	Poz-36362	Raczky-Siklósi (2013)
Tiszalúc-Sarkad		5050	40	Poz-36363	Raczky-Siklósi (2013)
Tiszalúc-Sarkad		5070	40	Poz-36361	Raczky-Siklósi (2013)
Silvașu de Jos		4430	50	Poz-56674	Diaconescu & Tincu (2016)
Băile Herculane	Catafani	4360	50	LJ-3534	Bojadžiev (1998)
Băile Herculane	Coțoleni	4350	60	LJ-3535	Bojadžiev (1998)
Ostrovu Corbului		4400	60	LJ-3799	Ciugudean (2000)
Sebeș		3495	40	AA-103619	Bălan et al. (2016)
Sebeș	Wistonhow	3501	40	AA-103620	Bălan et al. (2016)
Sebeş	wietenberg	3555	41	AA-103615	Bălan et al. (2016)
Oarța de Sus		3507	37	Bln-5626	Kacsó (2004)

Table 1. Ages from other studies used for comparison.

The Late Neolithic. A ¹⁴C dating for the Turdaş Culture from the Cauce Cave was previously carried out on a bovidae tibia (Luca, 2009), but its accuracy was questioned because of controversies related to the sampling depth (Diaconescu, 2014). The resulted age was 5,760 \pm 40 B.P. (Luca, 2009) and is strikingly conformable with the age obtained in the present study for the Turdaş layer from The Great Cave of Cerişor (sample PC3: 5,740 \pm 40 B.P.). However, until a clarification of the context from which the sample dated by Luca (2009) was taken (*i.e.* establishing the precise location within the Cauce Cave profile and determination of the

postdepositional processes which could have affected the sample and/or the site), it can be stated that the obtained value fits with a Turdaş inhabitance strictly from a numerical point of view, but cannot be considered a reliable result.

The sample from the deepest GCC layer (*i.e.* sample PC3, from the layer containing Turdaş Culture and Foeni Group sherds) fits well with the ages obtained for the Turdaş Culture from Orăștie (Luca, 2001, 2009). Also, the age obtained from sample PC3 matches also the ages for the Foeni Group obtained from the Foeni village, as well as the Hunedoara and Alba Iulia cities (Luca, 2001; Gligor, 2009; Drașovean, 2013; Tincu, 2015). The ¹⁴C dates obtained in this study for the Turdaş layer (with Foeni potsherds at the top) from the Cauce Cave are older than the one obtained for Turdaş sample from GCC profile. Still, they correlate well with the Turdaş Culture ages from Orăștie and with the Foeni Group ages from Foeni archaeological site.

Overall, compared with the ¹⁴C values from literature and taking in consideration the relative age inferred by the potsherds, the absolute ages obtained for the PC3, CAU1 and CAU2 sample are trustworthy. These ages, close to those of the Foeni group, are not surprising as the two populations are known to have intersected.

The Copper Age. The sample CAU3 (5,034 \pm 30 B.P.) was taken from a Copper Age layer situated at 79 cm below surface in the Cauce Cave profile. The sediments from this layer were attributed to the Tiszapolgár Culture. However, this layer was also mentioned as having Bodrogkeresztúr III ("Toarte pastilate" type pottery or 'Hunyadihálom') potsherds at the top (Luca et al., 2004). The calibrated date for sample CAU3 (5,897–5,710 cal B.P., 2 σ) fits best with the radiocarbon dates of the 'Lažňany–Hunyadihálom group 'summarized by Brummack & Diaconescu (2015). These authors also proposed an occurrence scheme in which Tiszapolgár Culture is followed by Bodrogkeresztúr Culture. Hunyadihálom culture would be the last in this timeline. The obtained date marks the existence of a Hunyadihálom population in the Cauce Cave and it correlates well with the dates from Tiszalúc-Sarkad (Hungary) and Barca Baloty (Slovakia) sites (Rackzy & Siklósi, 2013; Brummack & Diaconescu, 2015; Brummack, 2015).

The sample PC8 (4,370 \pm 35 B.P.) was recovered from another Copper Age layer, namely the Coţofeni Culture. The charcoal was sampled from GCC profile from a depth of 41 cm below surface and provided an age of 4,987–4,855 cal B.P. (2 σ), which correlates with the Coţofeni ages from Băile Herculane, Ostrovu Corbului and Silvaşu de Jos sites (Roman, 1976;

Forenbaher, 1993; Ciugudean, 2000; Diaconescu & Tincu, 2016).

The Bronze Age. Both sites (GCC and CC) contain Bronze Age layers containing Wietenberg Culture artifacts. The age from GCC is 3,856–3,686 cal B.P. for sample PC38 (taken from the middle of the Bronze Age layer), whereas the CAU5 (sampled from the base of the Bronze Age layer) from CC provided an age of 3,991–3,849 cal B.P. Overall, the results fit with the ¹⁴C data obtained from Sebeş and Oarța de Sus sites for Wietenberg Culture (Kacsó, 2015; Bălan et al., 2016).

Age-depth models. At GCC, the chronology of the interval from 17 cm to 46 cm in the profile is based on three charcoal samples which returned ages in stratigraphic order. The ages cover an interval of ~2,750 years, between 6,440 cal B.P. and 3,686 cal B.P. In the lowest part of the succession, between 6,440 cal B.P. (Late Neolithic) and 4,855 cal B.P. (Cooper Age), the sediments accumulated at low rates, resulting in a 5 cm thick layer. At 4,855 cal B.P. an increase of the deposition rate is observed and is maintained until at 3,686 cal B.P. (Bronze Age).

In the Cauce Cave, the chronology for the interval between 42 cm to 104.5 cm in the profile is based on four charcoal samples which returned ages in stratigraphic order. The ages span an interval of ~2,790 years, between 6,658 cal B.P. and 3,850 cal B.P. As in the case of GCC, a deposition model was simulated for the dated part of the profile. In the lowest part of the profile, the samples CAU1 and CAU2 have very close ages (6,658 cal B.P. and 6,560 cal B.P., respectively). In between these samples, there is an 11.5 cm thick layer of sediments which accumulated rapidly. From 6,560 cal B.P. (sample CAU2, Late Neolithic) to the upmost 14 C dated sample (Bronze Age), the sedimentation rate constantly decreased.

Charcoal δ^{13} C. For GCC, charcoal δ^{13} C values range from -27.63 to -21.76‰, with an average of -24.38 ‰. The carbon isotopic record shows a noticeably increase at the depths of 28 cm and 36.5 cm, reaching values of -22.17‰ and -21.76‰, respectively. The corresponding age interval when this variation occurs is between 4,199 cal B.P. and 4,699 cal B.P. Except of this shift, the δ^{13} C values show a negative trend, with the most depleted charcoal having a value of -27.63‰. For CC, the charcoal δ^{13} C values vary from -26‰ to -22.99‰, with an average of -24.48‰. The results show three moments, with a significant increase of the isotopic values. The

first variation was recorded in the charcoals from the depth of 50 cm and 54 cm and is illustrated by values of -22.99% and -23.07%, respectively. The time span during which this variation persisted is between 4,242 cal B.P. and 4,439 cal B.P., as inferred from the deposition model. The second shift towards higher values is documented by charcoals from the depths of 75 cm and 79 cm. The corresponding δ^{13} C values for these depths are -23.51% and -23.71%, respectively, and they are recorded in the time interval ranging from 5,469 cal B.P. to 5,665 cal B.P. The last variation occurs at the depth of 95 cm where the charcoal had a δ^{13} C value of -23.73%(corresponding age from the age-depth model: 6,577 cal B.P.).

Environmental changes inferred from $\delta^{13}C$

Numerous studies have used the δ^{13} C of plants to describe the past hydroclimate of a region, whereas other studies have interpreted the δ^{13} C values as indicators of a shift between C₃ and C₄ plants (see Onac et al., 2014; Forray et al., 2015; Campbell et al., 2017; Cleary et al., 2019). In recent studies, the δ^{13} C from fossil charcoal has been proved to represent the paleoclimatic signal and was subsequently used to describe past climate changes (see *e.g.*, Ferrio et al., 2006; Hall et al., 2008; Aguilera et al., 2009, 2012; Masi et al., 2012, 2013; Baton et al., 2017; Audiard et al., 2019).

The obtained δ^{13} C data for GCC and CC all within the range of -20 and -37‰ specific to the C₃ plants (Kohn, 2010), pointing to a charcoal formed from a woody plant using the C₃ pathway. In order to infer the environmental conditions for the studied time span, the measured δ^{13} C values were compared with existing isotopic data from other records.

Generally, for the time interval between 6,658 cal B.P. and 3,642 cal B.P. inferred from the ¹⁴C datings, the vegetation at altitudes such as those characteristic to the study area consisted of mainly *Carpinus betulus*, *Corylus*, *Quercus*, *Tilia*, *Fraxiuns* and *Picea*, with a shift to *Fagus sylvatica* towards the end of the interval. Similar vegetation trends were described based on two sequences from Avrig (Tanțău et al., 2006). The first climatic variation occurs at ~6,500 cal B.P. when the isotopic data from Cauce Cave points to a wetter period (*i.e.* lower δ^{13} C values) compared with the preceding times. The isotope values from Cauce Cave show an increase from ~ 6,500 cal B.P. until ~5,500 cal B.P. Currently, the charcoal δ^{13} C documents a dry event (*i.e.* higher δ^{13} C values), which correlates with the oxygen data from PU-2 and GISP2. The stalagmite and ice core oxygen data suggest a warm climate, but from ~5,500 cal B.P. the temperatures start to deteriorate (Onac et al., 2002). A return to wetter conditions is indicated by the δ^{13} C values at 4,750 cal B.P., but shows no correspondence in the graphs of PU-2 or GISP2. However, the data from Poleva Cave indicate slightly warmer conditions.

The most dramatic climate change recorded by the δ^{13} C data occurs at 4,250 cal B.P. A sharp increase of δ^{13} C values from GCC and CC is visible, pointing to a dry event just before the Bronze Age inhabitance. The carbon isotopes from PU-2 also increase, indicating aridity. The dry spike from Cauce Cave correlates (slightly shifted) with the trends shown by the oxygen isotope data from GISP2 and PU-2. The cold and drought conditions inferred from the charcoal δ^{13} C for the 4,200 cal B.P. are supported by the vegetation described from Iaz and Avrig sites (Tanțău et al., 2006, 2016). After the 4,200 cal B.P. event, the climate documented from Cauce Cave becomes more humid, correlating with data from PU-2. At Avrig, the *Fagus* pollen values also increase after 3,880 cal B.P., indicating a switch to a moist and cold environment and similar vegetation (with the spreading of *Fagus sylvatica*) and environmental conditions were described from Iaz (Tanțău et al., 2006, 2016).

The aridity episodes from GCC and Cauce Cave also correlate well with archaeobotanical remains δ^{13} C records from other sites in Europe. In Turkey, Masi et al. (2013) have described the paleohydroclimate for the ~5,300 and 3,950 cal B.P. interval using δ^{13} C measured from archaeological charcoal and the data indicated dryness around 5,300 cal B.P. and 4,250 cal B.P. Similar drought episodes were found by Aguilera et al. (2012) from δ^{13} C of archaeological charcoal and cereal grains recovered from the Iberian Peninsula.

Across the globe, data from other ahrchives recognize the existence of a cold period around 4,200 cal B.P. (the so-called "4.2 ka event") which was mostly dry (Perry & Hsu, 2000; Margaritelli et al., 2016; Weiss, 2016; Schirrmacher et al., 2020). In 2018 it has been accepted as boundary between the 'Meghalayan stage' (Late Holocene) and 'Northgrippian' (Middle Holocene).

The results from Great Cave of Cerişor and Cauce Cave add up to the few previous studies which demonstrated the possibility of inferring paleohydrological conditions using the δ^{13} C data obtained from archaeological charcoal. The presented approach provides a basic model of how archaeological charcoal can be used to infer the paleohydroclimate, especially in areas where other climate archives lack. The study is unique on the Romanian territory, pointing to the need of further research using the charcoal δ^{13} C as proxy.

CERAMICS

Introduction⁴

The ceramic body consists of a matrix (groundmass) which embeds various-sized clasts and firing phases (Magetti, 1982; Ionescu & Ghergari, 2007; Ionescu & Hoeck, 2017). Apart of clasts, the sherds contain various voids. The surface can be covered by slip, paint, or glaze. During burial, secondary mineral products may form either through contamination for the surrounding environment or through the transformation of the initial components. The *matrix* is an inhomogeneous mixture of particles with dimensions under 15 μ m (Maggetti, 1979) or under 20 µm (Ionescu & Ghergari, 2007) which are thermally transformed. Depending of the firing temperature, the optical character of the matrix ranges from birefringent to isotropic. A low crystallinity degree corresponds to a high firing temperature. Clasts are aplastic components which originate from the temper and to a less extent from the raw clays. The temper is added for plasticity control and has a role in preserving the form of the object after modeling. Fabric is a term that includes the structure and the texture of the ceramic body. The former refers to fineness (granulometry) and is described using the Wentworth scale. The latter, refers to the spatial arrangement of the components, especially of the elongated ones such as micas and pores (Ionescu & Ghergari, 2007). Therefore, the texture can be not-oriented (no arrangement of elongated elements), oriented (preferential alignment parallel to the ceramic wall) or mixed (a combination of unoriented and oriented texture).

Samples and analytical methods

Ceramic samples. Two hundred sixty-four ceramic potsherds excavated in the Great Cave of Cerişor were studied. They are assigned to the Turdaş Culture, the Foeni Group, and the Coţofeni Culture.

The Late Neolithic Turdaş samples studied here includes twenty semifine and fine ceramic sherds. Seven Foeni Group potsherds fine ceramic sherds assigned to the Early Eneolithic Foeni Group (by Dr. C. Roman and Dr. S. Tincu, firstly mentioned in Giurgiu et al. (2019)) were studied. The sherds were found in the same stratigraphic level as the Turdaş

⁴ This chapter follows the Archaeoceramic course teached by C. Ionescu at the Babes-Bolyai University Cluj-Napoca, as well as within the ERASMUS program Chermat (Paris Marne-la-Valee).

materials (Roman et al., 2000). The number of potsherds assigned to the Copper Age Coţofeni Culture is much higher (237 pieces) compared to the Foeni Group and Turdaş ones. Macroscopically, the fineness is medium to coarse.

Geological samples. In order to compare the cave ceramic finds with potential raw materials, a total of 14 samples (Table 2) consisting of Quaternary sediments from the GCC cave as well as Miocene mudstones and sandstones cropping out in the area were analyzed.

Outonon	Location/CDS		Samples			
Outcrop Location/GPS La		Label	Description Depth (cm		Enthology of the outcrop	
GCC	Entrance 2	CER-1	Sandy clays	0-10	Light orange clays with large carbonate rock fragments and sand	
S1 archaeological tree		CER-2 Sandy clays 20		20	Orange clays with centimetric carbonate rock fragments and sand	
Outcrop I Peteac Valley 45°48'5.64"N, 22°49'5	Peteac Valley	CER-3	Mudstone	0-10	Gray mudstone with thin layers of	
	45°48'5.64"N, 22°49'55.38"E	CER-4	Mudstone mixed with sand	30	orange sand	
Outcrop II	Peteac Valley 45°48'6.78"N, 22°50'9.36"E	CER-5	Marl	0	Cream-colored marl with fossils	
	CER-6		123			
		CER-7		130		
		CER-8		155	Yellowish-cream mudstones alternating with orange sandstone layers	
Outcrop III Peteac Valley 45°48'4.44"N, 22°50'19.56"E	Peteac Valley	CER-9	Mix of clays and	190		
	45°48'4.44"N, 22°50'19.56"E	CER-10	sand	250		
		CER-11		270		
		CER-12		290		
		CER-13		300		
Outcrop IV	Quarry, S of Hunedoara 45°44'29.2"N 22°54'14.9"E	CER-14	Mix of sandstone and mudstone	53	Alternation of cream-colored sandstone and thin orange or gray mudstone	

Table 2. Characteristics of the geological samples.

Analytical methods. Two hundred sixty-four ceramic potsherds assigned to the Turdaş Culture, Foeni Group and the Coţofeni Culture were investigated by polarized light optical microscopy (OM), X-ray powder diffraction (XRPD), electron microprobe analysis and scanning electron microscopy (SEM), as presented in Table 3. The geological samples were analyzed by X-ray powder diffraction (XRPD).

Culture/	Total no.	Shoud menther	Analytical methods			
Group	of sherds	Snera number	OM	XRPD	EMPA	SEM
Foeni	7	CE-77 to CE-83	7 samples	7 samples	2 samples	3 samples
Turdaş	20	CE-67 to CE-76 CE-255 to CE- 264	20 samples	20 samples	2 samples	-
Coțofeni	237	CE-1 to CE-66 CE-84 to CE-254	237 samples	80 samples	4 samples	2 samples

Table 3. Potsherds samples and type of analysis performed on each culture/group.

Results

Geological samples. The Miocene mudstones sampled from outcrop III have similar mineralogical composition (Table 4), with the predominance of quartz, illite/muscovite, and feldspars. The wide peak at 14 Å could have been produced by both chlorite and smectite. The shift of this diffraction peak from 14 Å to 17 Å in a glycolated sample (CER-11) proves the presence of smectite (probably montmorillonite). The lack of a 14 Å diffraction peak after glycolation indicates the absence of chlorite.

Table 4. Mineralogy of the geological samples, obtained by XRPD. Abbreviations: Dol – dolomite; Cal – calcite; Qz – quartz; Fsp – feldspar; Ilt/Ms – Illite/Muscovite; Chl – Chlorite; Mnt – montmorillonite; Kln – kaolinite.

Outcrop	Sample	Mineralogy		
GCC	CER-1	Dol, Cal, Qz, Fsp, Ilt/Ms		
	CER-2	Qz, Fsp, Cal, Dol, Ilt/Ms		
Outcrop I	CER-3	Qz, Ilt/Ms, Fsp, Chl/Mnt, Cal		
	CER-4	Qz, Ilt/Ms, Fsp, Chl/Mnt, Cal		
Outcrop II	CER-5	Cal, Qz, Fsp, Ilt/Ms, Chl/Mnt		
Outcrop III	CER-6	Qz, Ilt/Ms, Fsp, Chl/Mnt, Cal		
	CER-7	Qz, Ilt/Ms, Fsp, Chl/Mnt, Cal		
	CER-8	Qz, Ilt/Ms, Fsp, Chl/Mnt, Cal		
	CER-9	Qz, Ilt/Ms, Fsp, Chl/Mnt		
	CER-10	Qz, Ilt/Ms, Fsp, Chl/Mnt, Cal		
	CER-11	Qz, Ilt/Ms, Fsp, Mnt, Cal		
	CER-12	Qz, Ilt/Ms, Fsp, Chl/Mnt, Cal		
	CER-13	Qz, Ilt/Ms, Fsp, Chl/Mnt		
Outcrop IV	CER-14	Qz, Ilt/Ms, Fsp, Mnt, Kln		

Turdaş Culture ceramics. Under OM the potsherds consist of a clayey matrix embedding larger aplastic phases (clasts). The matrix has an oriented texture, given by the arrangement of phyllosilicate minerals. Seen with crossed polarizers, the matrix is birefringent in most of the samples. Some samples show in places small isotropic parts. The aplastic clasts larger than 0.02 mm in size are predominantly muscovite and quartz. The lithoclasts are rare and include fragments of quartzite, micaschist, quartzo-feldspathic rock and carbonate rock. A fragment of a fossil bivalve was found in sample CE-261. Fe-rich pedogenic concretions occur as well. The size of the clasts varies from ~20 μ m to ~2 mm (the largest ceramoclast), with an average of 45 μ m. According to Wentworth (1922) scale, the average clasts plot within the coarse silt group. Most of the potsherds are predominantly semifine, only four sherds (CE-76, CE-260, CE-262, and CE-263) are coarse.

The diffractograms of the Turdaş sherds display a mineralogical composition with quartz, illite/muscovite, and feldspars as main mineral phases. The 10 Å, 4.5 Å and 2.6 Å illite/muscovite peaks are visible in all samples and show various intensities. There are no samples with missing muscovite/illite 10 Å peaks. The wide diffraction peak at 14 Å may be assigned to both chlorite and smectite (montmorillonite). A weak hematite peak (2.5 Å) occurs in few samples. The potsherds containing the 3.03 Å calcite peak have a highly birefringent matrix in OM.

The BSE images of the Turdaş potsherds illustrate an inhomogeneous ceramic body containing a high amount of phyllosilicate lamellae occurring as part of the matrix or as larger clasts. These lamellae are mainly muscovite. In the analyzed samples, the lithoclasts are represented by quartzites. Pedogenic concretions have been identified in sample CE-75. The matrix chemistry includes SiO₂ (between 47.51 and 50.32 mass%) and Al₂O₃ (between 16.06 and 35.12 mass%). The content of Al₂O₃ and SiO₂ is close to that of illite (Deer et al., 1992) which has 51.25 mass% SiO₂ and 23.53 mass% Al₂O₃. The amount of P₂O₅ is below 2 mass% and shows a positive correlation with CaO. Micas are represented by muscovite, which shows 0.79 to 3.55 mass% MgO and 0.44 to 5.64 mass% FeO_{TOT}. The pedogenic concretions contain ~35 mass% FeO_{TOT}, ~6 mass% Al₂O₃, ~4.2 mass% P₂O₅, ~5.4 mass% CaO and ~21 mass% SiO₂. K-feldspars contain between 13.2 and 16.3 mass% K₂O. Plagioclase show up to 12 mass% CaO (An_{59.4} Ab_{39.6} Or_{1.1}).

Foeni Group ceramics. Part of the results obtained by polarizing light optical microscopy, X-ray powder diffraction and electron microprobe analysis were previously published by Giurgiu et al. (2019). Under OM, the sherds show a random arrangement of phyllosilicate lamellae, except for samples CE-80, CE-81 and CE-83 which have a local oriented texture. Excepting the sample CE-79 which is semifine, all other sherds are fine-grained. With crossed polarizers, the matrix is birefringent in most of samples. The nonplastic clasts are mostly quartz, micas, plagioclase, alkali feldspars and heavy minerals, as well as lithoclasts and Fe-rich concretions. Quartz has angular and subangular shape and displays in some cases a network of cracks. Muscovite forms small or large lamellae, whereas biotite is either fresh, or partially altered to chlorite associated with opaque minerals. Polysynthetic-twinned plagioclase is frequent, whereas the alkali feldspar is rarer and slightly altered to fine-grained muscovite ("sericite"). Grains of epidote, clinozoisite staurolite, amphibole, garnet and apatite occur as well (Giurgiu et al., 2019). The lithoclasts are scarce and include quartzite, micaschist, amphibolitic schist and quartz-feldspar rock. Aggregates of opaque material, 25-50 µm in size, are iron pellets (so-called 'bohnerz' by Maggetti, 1979), Fe-rich pedogenic concretions and graphite lamellae. Clay pellets have also been identified. Ceramoclasts are found only occasionally, in the sherds CE-82 and CE-83. Granulometrically, only sample CE-79 is semifine; the remaining samples are finely-grained.

The diffractograms of Foeni sheds show a similar mineralogical composition for all sherds and indicate quartz, illite/muscovite, and feldspars as main mineral phases. The 10 Å peak is sharp and intense for the sherds with a highly birefringent matrix, which prove the crystalline structure of the illite/muscovite minerals. The wide diffraction peak at 14 Å may be assigned to both chlorite and smectite (montmorillonite). A weak hematite peak (2.5 Å) occurs in few samples. There are no diffractograms lacking the illite/muscovite peaks.

The back-scattered electron images and the energy-dispersive spectra for Foeni potsherds show a porous ceramic body, chemically and mineralogically inhomogeneous. The quartz fragments are prevalent, feldspars, muscovite and chlorite are common. Heavy minerals include apatite, epidote, clinozoisite, ilmenite and rarer amphibole. Ceramoclasts, chloritic schists, quartzo-feldspathic rock, soil concretions and clay pellets have also been identified. The values for SiO₂ range from 43.40 to 58.41 mass%, and Al₂O₃ from 18.59 to 29.30 mass%. The K₂O content shows a large variability, from 1.25 to 7.87 mass%. As for the Turdaş potsherds, the data for the matrix in Foeni sherds, plotted in the K₂O-Na₂O-CaO ternary diagram, show a trend towards increasing CaO, in particular for the sample CE-83. The Al₂O₃ *vs.* SiO₂ diagram indicates an illite-like composition. With less than 3 mass% CaO, the matrix indicates a noncarbonatic clay as raw source for the ceramic paste. The P₂O₅ *vs* CaO diagram shows two matrix groups. The first group (A) includes a matrix with high calcium and increasing phosphorous contents and reveals a slightly positive correlation with the data obtained for a clay pellet and a ceramoclast. The second group (B) has low phosphorous and calcium contents. Micas are mostly muscovite and less chlorite. Muscovite shows 0.9 and 3.77 mass% MgO and 1.27 and 10.18 mass% FeO. The quartz grains are almost pure, with 99.14 mass% SiO₂. Data published by Giurgiu et al. (2019) indicate that the iron pellets/pedogenic concretions contain up to 20 mass% Fe₂O₃, ~13 mass% Al₂O₃, ~4.5 mass% P₂O₅, ~4.2 mass% CaO, ~35 mass% SiO₂ and ~10 mass% TiO₂. Alkali-feldspar shows an orthoclase composition, with less than 10% albite. Plagioclases do not display compositional zoning, are acidic to intermediate in composition and range from albite Ab₉₂ to andesine Ab_{67.9} (Giurgiu et al., 2019).

The secondary electron images reveal a porous and sintered ceramic body, which looks similar to the ceramic body obtained by Hein et al. (2008) when firing clays with 40% temper at temperatures in a wide range of temperatures, *i.e.* between 550 and 850 °C. The most important aspect is that which regards the surface treatment (finishing). Here we follow the description and grading of smoothing and burnishing provided by Ionescu et al. (2015, 2019) and Ionescu & Hoeck (2020). Two main styles of burnishing were identified: a linear (pattern) and a plain one, respectively. They occur on a generally smoothed surface, probably done with wet hand or a dump cloth (Ionescu & Hoeck, 2020). The features of the ceramic body beneath both types of burnish are similar. The burnished surfaces display a well-defined layer, 5 to 10 µm in thickness, consisting of a mass of compacted clay minerals, devoid of large clasts. Such a structure of a burnished lines is marked by fine longitudinal striae. The plain burnished surface in sample CE-77 shows fine cracks and irregularities. Sample CE-82 displays burnished areas which are flat, even, and compact. Fine randomly oriented cracks interrupt the continuity of the burnishing. The movement direction of the tool during burnishing is indicated by the preserved fine striae.

Coțofeni Culture ceramics. Part of the polarizing light optical microscopy, X-ray powder diffraction and electron microprobe analysis results on Coțofeni ceramics have been published by Giurgiu et al. (2017b) and presented at various conferences (*e.g.* Giurgiu et al., 2016a, 2017a).

Unde OM the sherds show a clayey matrix, with various clasts embedded within it. Seen with one polarizer, the matrix appears as translucent to opaque, orange to dark brown mass. The texture is marked mostly by a random disposition of voids and phyllosilicate lamellae. Based on the optical characteristics of the matrix, the sherds were separated into three groups. The first group includes sherds with a highly birefringent matrix consisting of only slightly thermally transformed clay minerals. The second group shows an isotropic matrix. The third group of sherds contains a mixture of low birefringent and isotropic parts. The small bright red grains, irregularly distributed throughout the matrix, are either isotropic or slightly anisotropic.

The nonplastic clasts are mostly quartz, K-feldspar, muscovite, and rarer plagioclase. Quartz forms angular and sub-angular grains which are often highly fissured or have etched rims. Other minerals such as biotite, chloritized biotite, epidote group minerals (here referred as epidote), amphibole, staurolite, apatite, garnet, titanite, zircon and pyroxene were identified. The lithoclasts are mostly metamorphic rocks such as quartzite, muscovite-bearing quartzite, muscovite and biotite micaschist, gneiss, possibly graphitic schist, and amphibolite. Clasts of crystalline carbonate rocks are found in many sherds. Fragments of siderite and altered Fe-ore are present. The only igneous clasts include basalt and a granodiorite-type rock. The lithoclasts of sedimentary origin are sandstones.

Fragments of ceramoclasts are common and display different compositions and textures compared to the host. Additionally, soil concretions and small clay pellets were found. Also, samples CE-55 and CE-108 contain fossil remains, namely a ?gastropod and bryozoans, respectively.

The main XRPD peaks are given by illite/muscovite, quartz, and feldspars. The 2.69 Å hematite, the 3.03 Å calcite or the very weak 2.88 Å dolomite peaks are visible in few samples. The 14 Å peak can be assigned to both chlorite and montmorillonite. A 2.96 Å peak in CE-33 can be linked to clinopyroxene. A peak of talc (9.35 Å) is seen only in sample CE-31. The samples with a birefringent matrix display a sharp peak corresponding to still crystalline illite and muscovite. The samples with a mix of low birefringent and isotropic parts produced diminished illite/muscovite peaks due to the partial destruction of the crystalline structure. The

samples with an isotropic matrix do not have the 10 Å peak, but only a small lump between 20 and $45^{\circ} 2\theta$ – characteristic of an amorphous phase; other muscovite/illite peaks are still visible.

An inhomogeneous ceramic body is visible in the back-scattered electron images. The matrix contains variously-sized fragments of quartz, K-feldspar, muscovite, some plagioclase, chloritized biotite, and chlorite. Heavy minerals include rutile, apatite, epidote, monazite, ilmenite, titanite and zircon. The lithoclasts are quartzite, micaschist, gneiss, granodioritic rock and sandstone. All samples contain clay pellets and soil concretions as well as various types of ceramoclasts which usually show a contraction void around.

In the matrix, SiO₂ ranges from 45.02 to 55.32 mass%, K₂O from 1.51 to 5.33 mass% and FeO_{TOT} from 3.26 up to 14.07 mass%. CaO ranges between 0.92 and 2.32 mass%, with higher values, up to 6.5 mass%, being recorded only in sample CE-170. Sample CE-39 shows practically no CaO. P_2O_5 is generally below the detection limit, except in CE-170 where it ranges from 0.07 to 3.70 mass%.

The matrix is mainly composed of illite-like minerals. The K_2O versus Al_2O_3 diagrams display the wide chemical variation of the matrix. In some areas, tiny dark gray spots in the BSE image can be observed. There, the matrix has up to 60 mass% SiO₂. The matrix is characterized by a very low P_2O_5 content.

The clay pellets and the matrix have a similar chemistry, with Si, Al, and K as the main elements. They also contain low amounts of Mg, Fe, Ca, Ti, Na and even P. The soil concretions are dominated by Si, Al, and Fe, with some K, P, Mg and Ti.

The matrix of a few ceramoclasts has been analyzed as well. In the K₂O-Na₂O-CaO ternary diagram and in the Al₂O₃ vs. SiO₂ diagram our data plot close to illite. The K₂O versus Al₂O₃ diagram illustrates the chemical variation of the matrix of ceramoclasts. P₂O₅ correlates with CaO, excepting for a few analyzing points from a ceramoclast in samples CE-39 and CE-235 which practically contain no P₂O₅.

Micas are mostly muscovite, rarer being biotite and chloritized biotite. Muscovite occurs as large clastic lamellae, up to 300-400 μ m in length, or as small relics, partly melted, in the matrix. Chemically, muscovite forms a homogeneous group, with Al₂O₃ and K₂O content in the normal range or slightly below. The significant amount of MgO and FeO, correlated with a surplus of SiO₂ in most of the muscovite proves its phengitic character.

Feldspars form either very small grains in the matrix or large crystals, up to 300 μ m in size. All the K-feldspar are nearly pure in composition, with Na₂O content being mainly below 1 mass%, reaching up to 2 mass% in a few grains, resulting in 10 to 20 mol% albite respectively. No albite could be identified so far.

The SEM study focused on the surface treatment and decoration as well as the internal microstructure of the potsherds. Potsherd CE-58 shows an intensely fissured ceramic body embedding clasts with lamellar habitus. The surface of sample CE-58 is decorated with "U" shaped *incisions*. Very fine longitudinal striations present on the bottom of the channels offer a clue about the movement direction during the processes of incision. The remnants of burnished surfaces (compact, even and lacking distinguishable clasts) visible between incision lines proves that prior the decoration, the surface of the pot was carefully treated by burnishing. In the sample CE-91 the continuity of the burnished surface is interrupted by fine randomly oriented cracks or by some relief irregularities.

Discussions

Raw clays. The XRPD of whole ceramic body and the EPMA data on the matrix of Turdas Culture, Foeni group and Cotofeni Culture sherds indicate essentially that an Fe-rich, illitic-like raw material was used to prepare the ceramic paste. The plot of data in the K2O-Na2O-CaO diagrams shows depletion in K₂O and enrichment in CaO compared to natural illite (Deer et al., 1992). The lower K₂O values are due the loss of K⁺ following the start of dehydroxylation of illite-muscovite (Guggenheim et al., 1987; Rodriguez-Navarro et al., 2003; Gualtieri & Ferrari, 2006). The tendency to incorporate calcium into the illite-type structure was previously described by Ionescu & Hoeck (2011) in the matrix from some Copper Age ceramics from Romania. Apart of calcium related to illitic mass, the higher content of CaO in samples is due most likely to small amounts of montmorillonite (Giurgiu et al., 2019). A small amount of montmorillonite was identified in the Miocene geological samples taken from Peteac Valley which may have served as the source for the raw clays. The cave loam (CER-1 and CER-2) contains, as previously shown, a high amount of dolomite and calcite. This composition is not compatible with the composition of the potsherds from the three populations (Turdas, Foeni and Cotofeni). It seems likely that the pots were produced far away from the areas where crystalline dolomite and calcite rocks occur. The crystalline carbonate rock fragments from the Cotofeni

potsherds may be interpreted as accidental and not intentionally added in the ceramic paste. Generally, it is considered that the P_2O_5 amount from pottery is due to finely grained apatite and pedogenic concretions present in the raw material (Ionescu et al., 2011) or is a product result of burial (Freestone et al., 1994; Maritan et al., 2009). In the matrix of *Turdaş* potsherds, the EMPA showed that P_2O_5 is below 2 mass% and has a positive correlation with CaO (0.98 correlation coefficient). This points to apatite as the source of P. The CaO vs. P_2O_5 diagram for the matrix of *Foeni Group* sherds displays two groups of data, one with higher calcium and phosphorous contents (A) and another with lower calcium and phosphorous contents (B). There is a positive correlation (~0.8) between P_2O_5 and CaO for group A which indicates apatite as the source of P for part of the matrix (see also Ionescu et al., 2011; Ionescu & Hoeck, 2017). On the other hand, phosphorous may be present as result of burial (Freestone et al., 1994; Maritan et al., 2009) in the case of the group B data (Giurgiu et al., 2019). In contrast with the Turdaş and Foeni sherds which contain phosphorous, the P_2O_3 amount for the matrix from Cotofeni sherds is very low (and frequently zero) indicating either a P-poor clay or no burial contamination.

Matrix EMPA data on ceramoclasts from a Foeni sample CE-83 (Foeni) plot in the same fields as the data from the host ceramic body. This result could point to the use of the same type of clay (*i.e.* illite-like) and the same clay source for producing the older vessels from which the analyzed ceramoclast originates. If the raw materials have a good quality, the continuity of exploiting the same source/outcrop is not surprising.

In the case of Cotofeni sherds, the matrix of ceramoclasts and the matrix of the ceramic body were compared. Overall, the values for the matrix from the ceramoclasts plot in the same fields as the values from the matrix of the ceramic body. Notable differences are present in the case of P_2O_5 which shows higher values in the ceramoclasts than in host ceramic body and it correlates with the CaO contents.

Tempering materials. For the sherd from all three population, the data support the existence of two types of temper: a natural one, *i.e.* a silty to sandy material (already present in the raw clayey material), and an artificial one, intentionally added by the potter in small amounts to better control the plasticity (Maggetti, 1979).

In Turdaş potsherds, the natural temper predominates. It consisted of a sandy/silty material with mainly quartz and muscovite. The semifine sherds display a relatively homogenous

ceramic body with a homogeneous distribution of the temper in the whole sherd, indicating a good mixing of the raw materials. Most of the samples contain mainly quartz and muscovite. It seems that the Turdaş potters used a narrow range of materials to prepare the paste for the semifine wares and they were restricted to clay mixed with some temper rich in quartz and muscovite.

Data from *Foeni* and *Cotofeni* ceramic indicate a mineralogical composition with quartz, feldspars, micas, some heavy minerals, and rock fragments (especially micaschists, quartzites, amphibolitic schist and quartzo-feldspathic rocks) for the silty sandy material used as temper. Soil concretions and clay pellets are common in the *Foeni* and *Cotofeni* sherds and probably they originate from the natural clay. Their presence suggests a poor homogenization of the paste (Medeghini & Nigro, 2017) or the use of unseasoned clays (Maritan, 2004). The artificial temper is represented by ceramoclasts. They are rarely found in the Foeni potsherds whereas in Cotofeni ceramic ceramoclasts show a large compositional variety and are very frequent.

Fossils. Fossils are good indicators in provenance studies (Quinn & Day, 2007; Fabbri et al., 2014). These were identified in *Turdaş* as well as in *Coţofeni* samples, but in very low number. A bivalve fragment was described from Turdaş sample CE- 261 and fossils from Coţofeni sherds are restricted to samples CE-55 and CE-108 (bryozoans and a ?gastropod, respectively).

The geological sample CER-5 (from outcrop II) contains large bivalve and gastropod fossils (possibly *Actaeonella sp.*). The outcrop II is located in the Peteac Valley, close to the outcrop III, where the geological samples (CER-6 to CER-13) which fit with the sherd composition have been sampled. The Upper Cretaceous deposits in the vicinity of Nandru locality contain bivalves and gastropods fossils (Forray, 1994). Also, a fauna rich in gastropods and bivalves is known from the Sarmatian deposits between Răcăștia and Nandru (Gheorghiu, 1954; Zágoršek et al., 2008) where rocks such as those from the Peteac Valley also occur. Bryozoans have been described from the Middle Miocene deposits close to Răcăștia where gastropods are common (Zágoršek et al., 2008).

In the case of Cotofeni population, another hint about the area explored when they looked for raw materials comes from the Cauce Cave, located close to the GCC. In the Cotofeni level of this cave an amulet made of a perforated *Conus fuscocingulatus* (Sztancs et al., 2005) was exhumed. This fossil occurs at Buituri (Hunedoara) – a famous paleontological site, as well as at Popești locality, north from the Peteac Valley (Marincaș et al., 1969; Mureșan et al., 1980; Hladilová et al., 2004). This suggests that Coțofeni people might have known this clay source.

The scarcity of fossils in Turdaş and Cotofeni sherds could be explained through an intentional excluding of carbonate/fossils or by using clays with the composition similar to the ones described from outcrops I, II and III in Peteac Valley. It is highly probable that the potters produced the wares somewhere between Răcăștia, Buituri and Peteac Valley. All these facts point to a production place near the Cerna Valley area or towards the west where all the Miocene deposits have more or less the same composition. It is known that the potters preferred to use materials (clays as well as the temper) usually located at <10 km distance from the production center (Arnold, 1988) and the mentioned area meets this requirement. No sign or remnants of Turdaş, Foeni or Cotofeni firing devices or ceramic-related wastes have been found in the cave or in its vicinity. The wares were not produced there, but in a place with easy access to the raw materials, *i.e.* silty mudstones, alluvial sands, and silts (Giurgiu et al., 2017b). The wares were most likely transported to the cave, which was only a temporary settlement usually used by the shepherds.

Firing conditions. The main clue to the firing atmosphere is the color and its variation within the wall of the pot. The ceramic wall of most Turdaş samples is homogenous, and indicates firing under constant redox conditions (Shepard 1956; Murad & Wagner 1996; Molera et al. 1998). Same conditions are inferred for few Foeni sherds which are homogenous in fresh crosscut. The Foeni sherds displaying reddish brown colors contain hematite and were fired in an oxidizing atmosphere. Most of the Cotofeni sherds display dark brownish and dark grayish colors, indicating a Fe-rich paste fired mostly in reducing conditions (Shepard, 1956; Kreimeyer, 1987; Murad & Wagner 1996; Molera et al., 1998). Still, the orange color for some samples and the presence of hematite suggest that some oxygen was still present in the kiln.

The bizonal structure of Turdaş sample CE-260 with red core and gray rims indicates a variable firing atmosphere: oxidizing at the beginning and reducing towards the end of the firing. The Coţofeni wares with color zoning *i.e.* bi-zonal and sandwich-type, were probably fired in a variable atmosphere (Molera et al., 1998; Nodari et al., 2007).

Foeni samples CE-79, CE-80 and CE-81 display a black-topped chromatic effect at the surface of the sherd and were intentionally fired to obtain this aesthetically aspect. The firing experiments carried out either in open air or in kilns show that the black-topped ceramics is produced by arranging the pots bottom-up on a floor covered by chaff, sawdust, oak wood or a mix of carbon and ash (Hendrickx et al., 2000; Baba & Saito, 2004; Bințințan, 2013; Bințințan & Gligor, 2016). In order to obtain such pots, a firing temperature below 900°-950° C is required (Dufournier, 1986).

The thermal conditions for the pottery are best reflected by the OM, EMPA and XRPD data. The optical characteristics of the matrix reflect the changes in the clay minerals' crystalline structure upon firing (Maggetti, 1982). The XRPD illite/muscovite peaks are good indicators of the changes suffered by the crystalline structure of the clay minerals during firing (Mercader et al., 2000; Broekmans et al., 2004; Ionescu et al., 2007).

The ceramic body of Turdaş sherds shows a highly birefringent matrix (Giurgiu et al., 2016b). Limited areas with low birefringence matrix are present in few sherds demonstrating advanced destruction of the phyllosilicates. No glass is present because the firing temperatures were not high enough to yield such a product. In the BSE images, muscovite appears as lamellae with fine cleavage lines, proving a low temperature of firing. Also, the carbonate is a good indicator of the firing temperature. The bivalve shell shows birefringence and indicates slight change during the ceramic firing, which can be estimated below 800 °C (see *e.g.*, Fabbri et al., 2014). At ~850 °C, calcite would start to decompose and form wollastonite, gehlenite or/and clinopyroxene (Rodriguez-Navarro et al., 2009).

Similarly, the OM study of Foeni sherds shows that most of the potsherds have a highly birefringent matrix composed of phyllosilicates sintered together. Still, the individual lamellae can be recognized and the crystal structure of the clay minerals is not significantly affected by temperature. There is no glass demonstrating that the temperature was not high enough to melt the material (Giurgiu et al., 2019).

It is known that illite-muscovite XRPD peaks are still present in the material fired at 800°C (Mercader et al., 2000) and that the destruction of illite begins at 820°C (Heimann, 2017). There are no Turdaş and Foeni diffractograms with missing illite/muscovite peaks, thus pointing to temperatures below 900 °C for all samples. The illite-muscovite diffraction peaks for most of the Turdaş and Foeni samples are very intense and sharp and a firing temperature of about 800

°C can be assigned to these sherds (see *e.g.*, Mercader et al., 2000). Samples (*e.g.* CE-69, CE-72, CE-75, and CE-262 from Turdaş, and CE-77 and CE-79 for Foeni) which show significant diminishing of the illite-muscovite diffraction peaks due to the partial destruction of the crystalline structure, argue for a firing temperature around 850 °C (Broekmans et al., 2004; Ionescu et al., 2007). These values are within the normal interval recorded in bonfires and surface clamps (Velde & Druc, 1999) and are high enough to produce a sintered ceramic body.

Muscovite dehydroxylation takes place in a large interval, between 700 and 1000 °C (Mazzucato et al., 1999), whereas that of illite starts at 875 °C and lasts until 1070 °C (Gualtieri & Ferrari, 2006). The lower K₂O values obtained by EMPA for the Turdaş, Foeni and Coţofeni matrix are due the loss of K⁺ following the start of dehydroxylation of illite and muscovite (Guggenheim et al., 1987; Rodriguez-Navarro et al., 2003; Gualtieri & Ferrari, 2006). These reactions suggest a firing temperature which might have reached 850 °C in Turdaş ceramics, but only for a short period of time. The increasing CaO content can be explained through its incorporation upon firing at approximately 850°C (Ionescu & Hoeck, 2011).

In the case of Cotofeni ceramic the results point to three groups as regards the firing temperature: a low-fired, a medium-fired, and a high-fired group, respectively. However, there is a continuous transition between the groups and no sharp boundaries (Giurgiu et al., 2017b).

The low-fired Cotofeni sherds are easily identified after the highly birefringent matrix and untransformed carbonate clasts (*e.g.* CE-183). In samples CE-170 and CE-235, the clay minerals composing the matrix are sintered together. Individual lamellae are still present. These samples also have the most intense illite/muscovite XRPD peaks. All these features suggest a ~800 °C firing temperature (see also Meldau & Robertson, 1953; Cultrone et al., 2001; Grifa et al., 2009; Trindade et al., 2009; Maggetti et al., 2011; Rat'ko et al., 2011). The highly-fired sherds display a homogeneous matrix which has round pores. The birefringence is low and sometimes isotropic areas are present, proving the destruction of the crystalline structure of the clay minerals. Some of the carbonate clasts from these samples are partly thermally transformed (coronitic structure?). Some sherds do not show the 10 Å illite/muscovite diffraction peaks. Overall, these features indicate a ~900 °C firing temperature (Murad & Wagner 1998; Cultrone et al., 2001; Bertolino & Fabra, 2003; Trindade et al., 2009). The absence of an extensive vitrification demonstrates that the temperature was below 950 °C. The medium-fired sherds display a matrix consisting of low birefringent parts mixed with isotropic parts (*e.g.* CE-3). Some quartz grains have etched margins and micas show signs of melting. The illite/muscovite diffraction peaks are diminished but not absent (*e.g.*, CE-1 and CE-31). These transformations indicate firing at temperatures reaching \sim 850 °C (Giurgiu et al., 2017b).

Firing devices. The lack of firing device near the cave or in the places where the raw materials are at hand suggests that temporary firing structures may have been used by Turdaş, Foeni and Coţofeni potters. The sherds were fired in surface clamps or bonfires which consisted of a pit where the pots were arranged and covered with fuel and subsequently fired. However, the identification of these firing devices is difficult and if they were used only seasonally, the reconstruction was more advantageous (Thér, 2004). The temperature values are within the normal interval recorded in bonfires and surface clamps (Velde & Druc, 1999) and are high enough to produce a sintered ceramic body. Data from Livingstone Smith (2001) shows that firing temperatures in bonfires and pits are usually around 800-850 °C, but may reach >900 °C, which is consistent with the inferred temperatures for the potsherds from all three populations.

The lack of firing device remnants and the successful experimental production of black topped ceramics not only in bicameral vertical kilns, but also in open-air (Hendrickx et al., 2000; Baba & Saito, 2004; Bințințan, 2013; Bințințan & Gligor, 2016) argue for the use of temporary firing structures by the Foeni potters.

The large thermal interval which was inferred for the Cotofeni ceramics may be due to the firing conditions, carried out in surface clumps or primitive ovens. Temperature homogeneity in clamps and kilns is difficult to acquire (Thér, 2014). The lowest temperature difference between two thermocouples obtained by Thér (2004) was in a two-chamber vertical kiln with grate and was of 40 °C. The same author has measured a difference of 248 °C in clamp-kilns during one firing and highlighted that the temperature development is highly unstable in this type of kilns. Additionally, the thermal variation in a pot is normal and differences such as 390 °C may occur between one part of the ceramic wall and another during one firing (Magetti et al., 2011). Consequently, it is highly plausible that low-, medium- and high-fired sherds could have been part of the same batch and fired together.

Decoration. Six Turdaş potsherds show plain burnish decoration on the exterior of the pot or on both sides, inner and outer. Also, burnish decoration is known as one of the characteristics of Foeni Group pottery (Gligor, 2008) which shows not only plain burnished wares, but also pattern burnished surfaces.

The characteristic movement for producing burnished surfaces is rubbing back and forth the surface of the pot with a tool such as water-worn pebbles, lithic pestles, flint, or agate (Ionescu et al., 2015; Ionescu & Hoeck, 2020). Burnishing produces a thin film of well-aligned phyllosilicates, parallel to the surface, sealing off the holes and significantly diminishing the roughness (Ionescu et al., 2015, 2019; Ionescu & Hoeck, 2020). The resulted aspect obtained by the burnishing is a lustrous, shiny surface.

The SEM study of the Foeni ceramic show that small ridges separate the burnished pattern lines by the rest of the surface. By pressing the burnishing tool against the pot surface, the resulting burnished depressions display a slightly curved profile. These features point out to the use of a tool with rounded form. A water-worn pebble might have been the tool for making the burnished lines as they are highly available in the areas where the raw materials occur.

For Cotofeni samples, the surfaces are decorated with burnished surfaces located in between "U" shaped incisions. The latter are defined as a freehand decoration performed through pressing or cutting lines into the paste (Shepard, 1956). The combination of incisions and burnish decoration allows the identification of the order in which the pots were decorated. Usually, the pottery was incised after being burnished. This is also the case for sample CE-58 which is sharp and shows no overhang at the edges of incisions (Shepard, 1956). The incision was probably performed when the paste was plastic using a pointed tool held as a pencil and had a rounded point, producing the symmetrical and curved incision from sample CE-58. A similar process was probably implemented to the rest of the incised potsherds, as the majority shows the same characteristics as sample CE-58.

GENERAL CONCLUSIONS

The ¹⁴C ages obtained from the Great Cave of Cerişor and the Cauce Cave are in stratigraphic order, with the oldest date indicating a Late Neolithic level in the profiles from both caves. From the Great Cave of Cerişor, the dated charcoal samples returned the following ages: $5,740 \pm 40$ B.P. (Late Neolithic, Turdaş Culture), $4,370 \pm 35$ B.P. (Copper Age, Cotofeni

Culture) and $3,490 \pm 35$ B.P. (Bronze Age, Wietenberg Culture). For the Cauce Cave the obtained ¹⁴C dates are 5,880 ± 35 B.P. and 5,867 ± 34 B.P. (Late Neolithic, Turdaş Culture), 5,034 ± 30 B.P. (Copper Age, Hunyadihálom Culture) and 3,626 ± 30 B.P. (Bronze Age, Wietenberg Culture). The results correlate well with the inferred cultures/groups previously established by archaeologists for these caves. The ¹⁴C data also comply with other absolute ages from literature. The past hydroclimate information was established based on δ^{13} C measured on archaeological charcoal. The paleohydroclimate during the Late Neolithic–Bronze Age interval was characterized by two dry episodes at ~5,500 cal B.P. and at ~4,200 cal B.P. and correlates with data from other paleoclimate archives. At 4.2 ka the Meghalayan stage begun and is clearly marked in the records from Great Cave of Cerişor and Cauce Cave. The approach is new in Romania and is suitable from other archaeological sites where charcoal is abundant.

Regarding the ceramics, the Turdas potters produced semifine and coarse wares decorated with plain burnish. The population preferred reddish hues for the wares and firing took place in an oxidizing atmosphere. *Foeni* population, despite the primitive firing conditions in bonfires or surface clamps and the relatively low temperature attained, succeeded to produce blacked-topped ceramics, showing care for the aesthetical aspects and a certain control of the atmosphere in the kiln. Also, the potters were experienced in burnishing the wares, producing pattern and plain burnished ceramics for a better aspect, but also because they were probably aware of the better resistance of burnished pots. The Cotofeni potsherds form a homogeneous group, having the same composition and technological characteristics. The sherds are decorated with various motifs using techniques such as burnishing, incision and impression performed with pebbles, bones, and/or specialized wooden sticks. The raw clays were Fe-rich, illitic and K-poor. Most *Turdaş* potsherds have a temper consisting of a sandy material naturally occurring in the clays or intentionally added. Foeni potters occasionally added ceramoclasts for a better plasticity, but the temper was mainly natural, represented by a sandy material. For *Cotofeni*, the ceramic body is coarse and contains a high amount of temper represented by sand originating from a metamorphic hinterland. In contrast with Turdas and Foeni, the ceramoclasts are ubiquitous which demonstrates that reusing older ceramic wares was common. The matrix of ceramoclasts show a composition almost identical with that of the matrix from the host ceramic body, pointing to the continuity in using the same raw clay source for longer periods of time.

The inferred temperature for *Turdaş* wares is below 850 °C, for *Foeni* ceramics is around 850 °C, and for *Coţofeni* sherds is between ~800 and ~900 °C. The large inhomogeneous distribution of temperature in the primitive firing devices and inside the ceramic wall is most likely responsible for the existence of this variation.

Considering the composition of the sherds from all three populations (with scarce crystalline carbonates), the production center was not located at the cave, but in a place where the raw materials were at hand. Also, the inferred raw materials point to a continuity in using the same raw materials source. Regarding the production place, as no remains of pits or other firing devices are known, the firing must have taken place in temporary surface clamps or bonfires which were rebuilt seasonally.

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